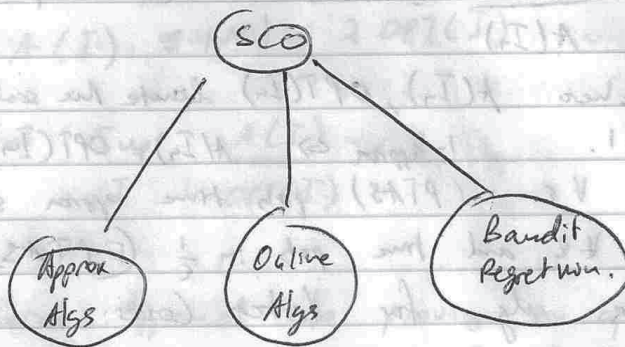


## Lecture 1:

- Go over Syllabus
- Describe project + answer questions
- Describe next due date
- Overview of lectures



- Background Review: poly-time, poly-size w.r.t. length of input spec.

NP: Set of decision problems (Yes/No) with polynomial-sized certificates/witnesses that can be verified in polynomial time.

egs: 3-SAT,

We will talk about optimization problems:

- How to turn an optimization problem into a poly-time equivalent decision problem?

eg: Vertex cover

# Appendix A.

Approx Alg defn:  $A$  is an  $\alpha$ -approx alg. if

$$A(I_n) \leq \alpha \cdot \text{OPT}(I_n) \quad \left( \sup_{I_n} \frac{A(I_n)}{\text{OPT}(I_n)} \leq \alpha(n) \text{ (min problems)} \right)$$

$$A(I_n) \geq \alpha \cdot \text{OPT}(I_n) \quad \left( \sup_{I_n} \frac{\text{OPT}(I_n)}{A(I_n)} \leq \alpha(n) \text{ (max problems)} \right)$$

$I_n$  - instances of size  $n$ .

where  $A(I_n), \text{OPT}(I_n)$  denote the scalar values.

$\Rightarrow \alpha \geq 1$ . 1-approx  $\Leftrightarrow A(I_n) = \text{OPT}(I_n)$

$\alpha = 1 + \epsilon \quad \forall \epsilon$  (PTAS) poly time approx scheme

$\alpha = 1 + \epsilon \quad \forall \epsilon$  and time poly in  $\frac{1}{\epsilon}$  (FPTAS).

eg: Approx alg. for Vertex Cover:

while  $\exists$  edges:

- Alg:
- pick any edge, add  $u, v$  to vert cover
  - remove all edges incident to  $u, v$ .

Claim: Alg is a 2-approx (best known for vert. cov)

~~$\text{OPT}(I) \leq A(I)$  since  $A(I)$  returns a vertex cover.~~

- ~~$A(I)$  returns a vertex cover~~
- only remove edges after they are covered.

Alt. view:

- find  $\Delta$  maximal matching  $M$ .
- include the vertices in  $M$ .

(one that cannot be made bigger by adding another edge)

pf: •  $A(I)$  returns a vertex cover.

• Claim:  $A(I) \leq 2 \text{OPT}(I)$

pf: •  $\text{OPT}$  must cover all the edges in  $M$ .

$$\frac{|M|}{2} \leq \text{OPT}(I)$$

$$A(I) = |M| \leq 2 \text{OPT}(I)$$

$$\Rightarrow \sup_I \frac{A(I)}{\text{OPT}(I)} \leq 2$$

• General Approach to proving an approximation alg. is through a lower bound (for  $\text{min}$  probs)

• Not all probs have approx algs.

• General TSP: Find a  $\text{min}$ -cost tour (visiting each city exactly once)

No approx algorithms  $\text{NP} = \text{P}$ .

Reduction from Hamiltonian Cycle.

• assume  $\exists \alpha$ -approx.  $\text{H.C.}$

• make every edge have length 1. non-edges have length  $\geq n \cdot \alpha$

• if  $\text{H.C.}$  result returned by approx,  $\text{H.C.}$  exists

else  $\text{TSP}$  it does not exist.

$$\geq \alpha \cdot n$$

• General Approach to inapprox: (IA) 99

- assume approx exists.
- show you can solve a different NP-complete problem with it.

• Approx for metric TSP: (IA)

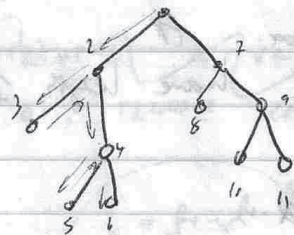
• in metric TSP, distances obey extra properties (metric).

- 1)  $d(u, u) = 0$
- 2)  $d(u, v) = d(v, u)$
- (triangle) 3)  $d(u, v) + d(v, w) \geq d(u, w)$

Alg: • Find MST.  
• pre-order traverse the MST. to output a tour.

Claim: Alg is 2-approx for metric TSP

pf:  $A(I) \leq 2 \cdot \text{MST cost (MST)}$



idea: preorder

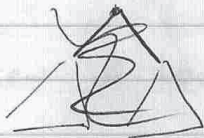
By ind. on size of MST.

By triangle req.  
direct path  $\leq$  any other path.

~~sketch = 1~~

~~sketch~~

~~sketch = 1~~



• Sum of paths on MST is  $2 \text{ cost(MST)}$   
~~2 MST~~

$$\Rightarrow A(I) \leq 2 \text{ cost(MST)}$$

$$\bullet \text{ cost(MST)} \leq \text{OPT}(I)$$

pf: take tour, remove any edge to get an MST.

$$\Rightarrow \text{~~OPT}(I)~~ \quad A(I) \leq 2 \text{ cost(MST)} \leq 2 \text{ OPT}(I)$$

• Can further improve to Euclidean TSP which as PTAS.